



AESDirect

User Guide



The complete guide to filing Electronic Export Information in the Automated Commercial Environment (ACE) AESDirect

Updated 04/18/16

About the Automated Export System (AES) and the Data

The AES is the primary instrument used for collecting export trade data, which are used by the Census Bureau for statistical purposes. The AES record provides the means for collecting data on U.S. exports. Public Law 107-228 of the Foreign Trade Relations Act of 2003 authorizes this collection. Title 13, U.S.C., Chapter 9, Sections 301-307, mandates the collection of these data. The data collected in the AES is confidential under Section 301(g), which prohibits public disclosure of export data collected by the Census Bureau unless the Secretary of Commerce determines that such exemption would be contrary to the national interest. The regulatory provisions detailing the mandatory reporting of these data are contained in the Foreign Trade Regulations (FTR), Title 15, Code of Federal Regulations (CFR), Part 30.

The official export statistics collected from these tools provide the basic component for the compilation of the U.S. position on merchandise trade. These data are an essential component of the monthly totals provided in the U.S. International Trade in Goods and Services (FT900) press release, a principal economic indicator and a primary component of the Gross Domestic Product.

In addition to developing the FT900, other federal agencies have used the data for export control purposes to detect and prevent the export of certain items by unauthorized parties or to unauthorized destinations or end users.

Burden Estimate

Public reporting burden for this collection of information is estimated to average approximately 3 minutes (.05 hour) per transaction for the Automated Export System, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden to: ECON Survey Comments 0607-0152, U.S. Census Bureau, 4600 Silver Hill Road, Room EMD-6K064, Washington, DC 20233. You may e-mail comments to ECON.Survey.Comments@census.gov. Be sure to use ECON Survey Comments 0607-0152 as the subject. This collection has been approved by the Office of Management and Budget (OMB). The eight-digit OMB approval number is 0607-0152. Without this approval we could not conduct this survey.

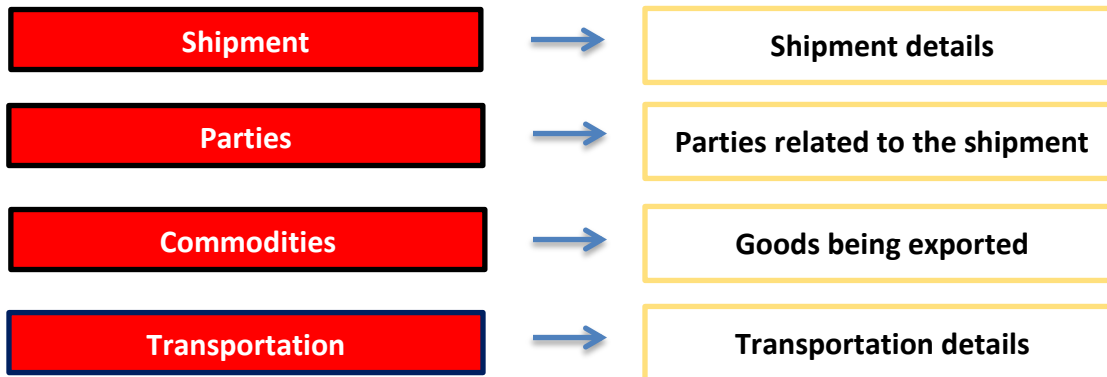
Table of Contents

General Information on Shipments	4
Parties Involved in an Export Transaction	6
Getting Started with AESDirect	6
Create Export Filing	9
<i>Step 1: Shipment</i>	9
<i>Step 2: Parties</i>	12
<i>Step 3: Commodities</i>	18
<i>Step 4: Transportation</i>	21
Template Manager	27
Party Profile Manager	31
AES Responses	34
AES Proof of Filing Citations	34

General Information on Shipments

Before learning to file Electronic Export Information (EEI) using *AESDirect*, here is some general information regarding EEI. We will refer to EEI as “Shipments” from this point forward.

Sections in each shipment



Shipment

Contains general shipment information.
(Required for all shipments.)

Parties

Contains information about the USPPI (the person or entity in the United States that receives the primary benefit, monetary or otherwise, from the export transaction), the Ultimate Consignee (the person or entity overseas who receives the product), and the Freight Forwarder and Intermediate Consignee (if applicable). (Required for all shipments.)

Commodities

Contains commodity information.
(Required for all shipments.)

Transportation

Contains transportation details for the shipment, including carrier information.
(Required for all shipments.)

Special Shipments

Routed export transactions - Transactions where the Foreign Principal Party in Interest (FPPI) authorizes a U.S. agent to facilitate export of items from the United States on its behalf and also prepare and file the shipment.



Shipments to Puerto Rico – The following is required:

- List a Port of Unlading
- Addresses should be listed with:
 - City – List municipality in Puerto Rico
 - State – Indicate Puerto Rico (PR)
 - Country – Indicate United States (U.S.)
 - Postal Code – Indicate a valid postal code in Puerto Rico

Shipments From Puerto Rico – The following is required:

- List a Port of Unlading
- Addresses should be listed with:
 - City – Indicate city of destination
 - Country – Indicate country of destination

Transshipments through Mexico, Canada or another foreign country, the mode of transportation is the mode of the carrier transporting the goods out of the United States.

Sold en Route – If the Ultimate Consignee is unknown at the time of export because your cargo will be sold while at sea, click the **Sold en Route** box. If selected, you must update all consignee information within **four** calendar days of export. Information should be stated as:

- **Ultimate Consignee Company Name:** Sold en Route
- **Address 1:** SEA
- **City:** City of First Port of Call
- **Country:** Country of First Port of Call

Mail - If the carrier is the United States Postal Service (USPS), select “Mail” as the mode of transportation. Leave the Carrier SCAC/IATA and Conveyance Name/Carrier Name fields blank.

Parties Involved in an Export Transaction

Definitions of Parties in the AES

- **U.S. Principal Party in Interest (USPPI)**
The USPPI is the person or entity in the United States that receives the primary benefit, monetary or otherwise, from the export transaction.
- **Ultimate Consignee**
The ultimate consignee is the person, party or designee that is located abroad and actually receives the export shipment. This may be the end-user or FPPI.
- **Foreign Principal Party in Interest (FPPI)**
The party abroad who purchases the goods for export or to whom final delivery or end-use of the goods will be made. This may be the ultimate consignee.
- **Intermediate Consignee**
The intermediate consignee is the person or entity in the foreign country that acts as an agent for the principal party in interest with the purpose of effecting delivery of items to the ultimate consignee.
- **Freight Forwarder – Authorized Agent**
The person in the United States who is authorized by the principal party in interest to facilitate the movement of the cargo from the United States to the foreign destination and/or prepare and file the required documentation.

Getting Started with AESDirect

Note: Please disable your browser's pop-up blocker in order to access all of the features of the ACE Filing Portal. For optimum performance, please use Internet Explorer v10 or above.

AESDirect Interface

Colors and Symbols

- **RED asterisk** indicates a mandatory field (Required)
- **BLUE diamond** indicates a conditional field (May be required)
- **No asterisk** indicates an optional field (Not required)

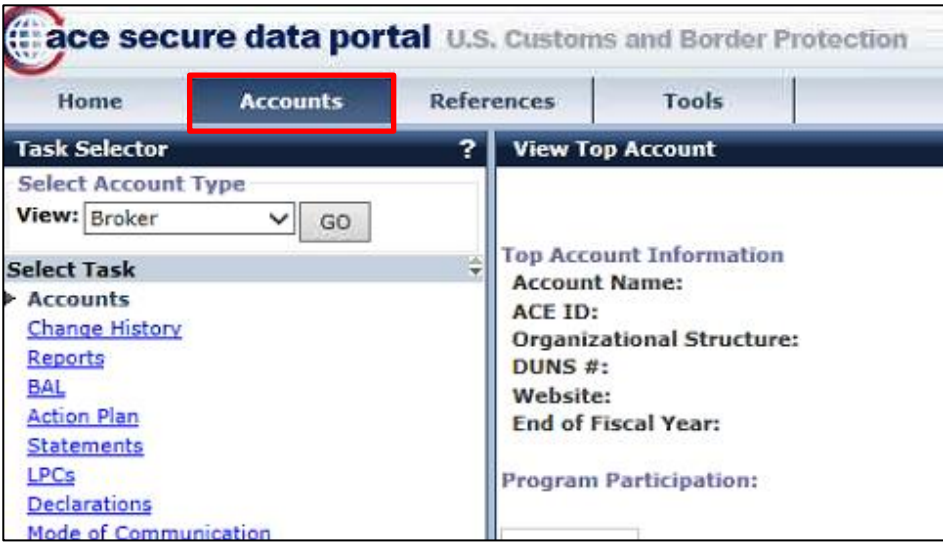
Help (i)

Available to the right of most sections to determine what is needed for each field and when fields are required.

Log in to Account

Step	Action
1	Go to https://ace.cbp.dhs.gov .
2	Enter Username and Password
3	Click Continue .

Submit AESDirect Filing

Step	Action
1	 <p>The screenshot shows the ACE Secure Data Portal interface. At the top, there is a navigation bar with tabs for Home, Accounts, References, and Tools. The Accounts tab is highlighted with a red box. Below the navigation bar, there is a 'Task Selector' section with a dropdown menu for 'Select Account Type' (set to 'Broker') and a 'GO' button. A 'Select Task' dropdown menu is open, showing options like Accounts, Change History, Reports, BAL, Action Plan, Statements, LPCs, Declarations, and Mode of Communication. To the right, there is a 'View Top Account' section with fields for Account Name, ACE ID, Organizational Structure, DUNS #, Website, and End of Fiscal Year, along with a 'Program Participation' section.</p>
	From ACE Secure Data Portal, select the Accounts tab at the top

2



Select **Exporter** in the drop-down next to **View**

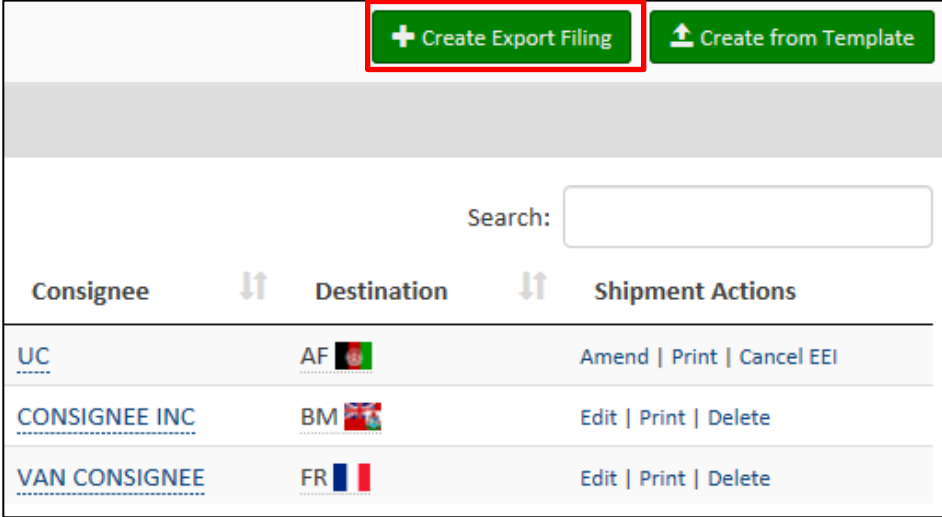
3



Select **Submit AESDirect Filings**

NOTE: Please disable or turn off your browser's pop-up blocker in order to access the ACE Filing Portal. If you do not disable your pop-up blocker, the portal will not open when you select 'Submit AESDirect Filings'.

Create Export Filing

Step	Action
1	Log in to ACE account.
2	Navigate to Shipment Manager
3	 <p>Click Create Export Filing.</p>

The following sections provide instructions for completing each of the required sections, as well as definitions of all of the data fields for each section.

Step 1: Shipment

Step	Action
1	 <p>Select Step 1: Shipment</p>
2	Complete all of the shipment details as required.

Explanation of Data Fields for this section

E-mail Response Address – This is where the AES Response will be sent. More than one e-mail address can be added if separated by commas.

Required: at least one e-mail

Shipment Reference Number – This is a unique identification number (1 - 17 alphanumeric characters) used to identify each shipment. The reuse of a Shipment Reference Number is prohibited. We recommend that you establish a unique format to create Shipment Reference Numbers and maintain a log of those already used.

Required: unique number used to identify each shipment; cannot be re-used

Filing Option – Select the filing option you wish to use for this EEI: 2 – Predeparture, 3P- AEI – Partial, 3F – AEI – Complete, 4 –Postdeparture filing. This field will default to Option 2. Postdeparture and Advanced Export Information (AEI) filing is only available with approved USSPPI IDs and for EEI that meet the requirements for postdeparture (AEI) filing.

Required: state the filing option of this EEI

Mode of Transport (MOT) - Report the method by which the goods are exported from the U.S.

Required: how the goods will be transported out of the U.S.

For shipments crossing through Canada or Mexico to another destination, report the mode of transportation for the movement of the goods out of the United States.

Port of Export – Seaport or airport where the goods are loaded on the exporting carrier that is taking the goods out of the United States, or the port where the exports (by overland transportation) cross the U.S. border into a foreign country.

Required: port where the goods are loaded on the exporting carrier

Port of Unlading – First port where the goods will be removed from the exporting carrier.

Conditional: required for all vessel shipments and air shipments between the U.S. and Puerto Rico.

Departure Date - Report the date of export out of the U.S.

Required: date leaving the U.S.

Origin State - Indicate where the goods begin their journey to the port of export. If a shipment is coming from more than one warehouse located in different states, provide the state with the commodity of greatest value. If this is unknown, provide the state where the commodities are consolidated for export.

Required: state where the goods begin their journey to the port of export

Country of Destination - Country where the goods will be consumed, further processed, stored or manufactured as known at the time of export. For shipments with the ultimate destination of Puerto Rico, select 'Puerto Rico' from the drop-down.

Required: country where the goods will be consumed

Inbond Code – The code indicating whether the shipment is being transported under bond, and if so, the type of withdrawal.

Required: Inbond shipments may not require an AES filing per FTR 30.2(d)(1)

Foreign Trade Zone (FTZ) - Provide the alphanumeric code assigned by the Foreign Trade Zone Board from where goods are withdrawn for export. Foreign Trade Zones are specially licensed commercial and industrial areas or areas near ports of entry where foreign and domestic goods may be brought in without being subject to payment of Customs duties.

Conditional: only required if goods are removed from the FTZ and not entered for consumption

Import Entry # – If an Inbond Code is specified, report import entry number

Conditional: only required if Inbond Code is reported

Is this a Routed Transaction?

A shipment is considered "Routed" when the foreign principal party in interest (FPPI) authorizes a U.S. Freight Forwarder or U.S. agent to facilitate the export of items, prepare and file the EEI on its behalf.

Required: indicate yes if routed or no if standard

Are USPPI and Ultimate Consignee related?

When USPPI and/or Ultimate Consignee owns directly or indirectly 10 percent or more of either party.

Required: indicate yes or no

Does shipment contain hazardous material?

Specify if there is any hazardous merchandise (as defined by the [Department of Transportation](#)).

Required: indicate yes or no

Step 2: Parties

Step	Action
1	<div style="border: 1px solid black; padding: 5px; margin-bottom: 10px;"> Step 1: Shipment Step 2: Parties Step 3: Commodities Step 4: Transportation </div> <p>Select Step 2: Parties</p>
2	<p>Complete all of the information for the involved parties in this transaction.</p> <p><i>NOTE: You will need at least one USPPI and one Ultimate Consignee for each transaction.</i></p>

USPPI (mandatory)

If you are the exporter, you will need to enter your information into the USPPI section.

USPPI * ⓘ

<p>ID Number Type * ⓘ Please Select ▾</p>	<p>ID Number * <input type="text"/></p>	<p>Company Name * ⓘ <input type="text"/></p>
<p>IRS Number ** ⓘ <input type="text"/></p>	<p>First Name * <input type="text"/></p>	<p>Last Name * <input type="text"/></p>
<p>Phone Number * <input type="text"/></p>	<p>Address Line 1 * <input type="text"/></p>	<p>Address Line 2 <input type="text"/></p>
<p>Postal Code * Please Select ▾</p>	<p>City * <input type="text"/></p>	<p>State * Please Select ▾</p>

Explanation of Data Fields for this section

ID Number Type – Select either Employer Identification Number (EIN), DUNS or Foreign Entity ID (ex: passport number).

Required

ID Number – Employer Identification Number (EIN) or Foreign Entity ID (ex: passport number).

Required

Note: Foreign party must be in the US when goods are obtained for export.

Company Name - Provide the company's name.

Required

IRS Number - If you select 'DUNS' as the ID Number Type, then the EIN would also be required.

Conditional: required if ID Number Type is DUNS

First and Last Name - Provide the first and last name of the contact person. Names must have at least two letters and can not have special characters such as accents or punctuation.

Required: names must have at least two letter; special characters are not allowed

Phone Number - Provide the contact telephone number.

Required: telephone number

Address Line 1 - Indicate address (no P.O. box number) of the location from which the merchandise actually began its journey to the port of export.

Required: address where the merchandise actually begins its journey to the port of export

Example: Goods loaded in a truck at a warehouse in Georgia for transport to Florida to then be loaded on a vessel for export to a foreign country must show the address of the warehouse in Georgia.

For shipments of multiple cargo origins, report the address from where the commodity with the greatest value begins its export journey. If such information is not known, report the address in state where the merchandise is consolidated.

Address Line 2 – Enter the second line of the address.

Optional

Postal Code - Enter the postal code for the corresponding address.

Required

City - Enter the city for the corresponding address.

Required

State - Enter the state for the corresponding address.

Required

Ultimate Consignee (mandatory)

The Ultimate Consignee section is always required. Please complete each data field as required.

The screenshot shows a form titled "Ultimate Consignee" with the following fields:

- Sold En Route?**: Radio buttons for Yes and No.
- Consignee Type**: A dropdown menu with "Please Select" as the current selection.
- ID Number Type**: A dropdown menu with "Please Select" as the current selection.
- ID Number**: A text input field.
- Company Name**: A text input field.
- First Name**: A text input field.
- Last Name**: A text input field.
- Phone Number**: A text input field.
- Address Line 1**: A text input field.
- Address Line 2**: A text input field.
- Country**: A dropdown menu with "Please Select" as the current selection.
- Postal Code**: A dropdown menu with "Please Select" as the current selection.
- City**: A text input field.
- State**: A dropdown menu with "Please Select" as the current selection.

Explanation of Data Fields for this section

Sold en Route - If you do not know the ultimate consignee because your cargo will be sold while in transit, select 'Yes'.

Required: indicate yes or no

Input data as follows:

- Ultimate Consignee Name: SOLD EN ROUTE
- Address 1: SEA
- City: City of First Port of Call
- Country: Country of First Port of Call (ISO Code)

Consignee information must be updated within 4 (four) days of exportation or as soon as the information is known.

Consignee Type - Select Consignee Type based on the business function from consignee that applies most often.

You can select from:

- Direct Consumer - a non-government institution, enterprise, or company that will consume or use the exported good as a consumable, for its own internal processes, as an input to the production
- Government Entity - a government-owned or government-controlled agency, institution, enterprise, or company.
- Reseller - a non-government reseller, retailer, wholesaler, distributor, distribution center or trading company
- Other/Unknown - an entity not previously indicated, as defined above, or whose ultimate consignee type is not known at the time of export

Required: select consignee type from the drop-down menu

ID Number Type – Select either Employer Identification Number (EIN), DUNS, or Foreign Entity ID (ex: passport number).

Optional

ID Number: Employer Identification Number (EIN), DUNS, or Foreign Entity ID (ex: passport number).

Optional

Company Name - Enter the company's name. If you select 'Sold en Route', all consignee information must be updated within 4 calendar days from the departure date.

Required: company name or sold en route indicator

First and Last Name - The contact person's name.

Optional

Phone Number – The contact person's telephone number.

Optional

Address Line 1 - Enter the first line of the address.

Required

Address Line 2 - Enter the second line of the address.

Optional

Country - Enter the country of ultimate destination. Select 'United States' for shipments with ultimate destination of Puerto Rico.

Required

Postal Code - Required for U.S. and Puerto Rico consignees, must be a valid code for the state reported.

Conditional: required for shipments to and from Puerto Rico

City - Enter the city for the corresponding country of ultimate destination

Required

State - You must enter a valid state code for Mexican and U.S. Consignees. Select 'PR-Puerto Rico' for shipments with ultimate destination of Puerto Rico.

Conditional: required for shipments to Mexico and to and from Puerto Rico

Intermediate Consignee Section (conditional)

The intermediate consignee is the person or entity in the foreign country that acts as an agent for the principal party in interest with the purpose of effecting delivery of items to the ultimate consignee. Please complete this section if applicable. See 'Ultimate Consignee' section for explanation of data fields.

Freight Forwarder (conditional)

If you are the freight forwarder, you will need to enter your information into the Freight Forwarder section, and the exporter's information into the USPPI section.

The screenshot shows a form titled "Freight Forwarder" with the following fields:

- ID Number Type: A dropdown menu with "Please Select" as the current selection.
- ID Number: A text input field.
- Company Name: A text input field.
- First Name: A text input field.
- Last Name: A text input field.
- Phone Number: A text input field.
- Address Line 1: A text input field.
- Address Line 2: A text input field.
- Postal Code: A dropdown menu with "Please Select" as the current selection.
- City: A text input field.
- State: A dropdown menu with "Please Select" as the current selection.

A "Save to Profile" link is located in the bottom right corner of the form.

Explanation of Data Fields for this section

ID Number Type – Select either Employer Identification Number (EIN), DUNS or Foreign Entity ID.

Required

ID Number - Enter either Employer Identification Number (EIN) DUNS or Foreign Entity ID.

Required

Company Name - Provide the company's name.

Required: company name

First and Last Name - Provide the contact person's first and last name

Required: names must have at least two letter; special characters are not allowed

Phone Number – The contact person's telephone number

Required

Address Line 1 – Enter the address for the freight forwarder's location

Required

Address Line 2

Optional

Postal Code - Enter the postal code for the freight forwarder's location

Required

City - Enter the city for the freight forwarder's location

Required

State - Enter the state for the freight forwarder's location. It must correspond to the postal code entered.

Required

Step 3: Commodities

Step	Action
1	<div style="border: 1px solid black; padding: 5px; text-align: center;">Step 1: Shipment Step 2: Parties Step 3: Commodities Step 4: Transportation</div> <p>Select Step 3: Commodities</p>
2	<div style="border: 1px solid black; padding: 5px;"><div style="background-color: #0070C0; color: white; padding: 5px; display: inline-block; border: 2px dashed black; border-radius: 5px;">Add Line</div></div> <p>Select Add Line to open up a new commodity line.</p>
	<p>Complete all of the commodity details as required.</p> <p><i>Note: You may add multiple commodity lines by selecting Add Line.</i></p>

Add Line ×

Export Information Code * ⓘ

Schedule B or HTS Number ** ⓘ [Schedule B Search Engine]

Commodity Description * ⓘ

1st Quantity ** ⓘ

1st UOM ** ⓘ

2nd Quantity ** ⓘ

2nd UOM ** ⓘ

Origin of Goods ** ⓘ

Value of Goods (whole US Dollars) * ⓘ

Shipping Weight (whole Kilograms) ** ⓘ

ECCN ** ⓘ

License Type Code/License Exemption Code * ⓘ

Agriculture and Marketing Services (AMS) Permit Required Yes No

Environmental Protection Agency (EPA) Permit Required Yes No

Does the filing include used vehicles? Yes No

Explanation of Data Fields for this section

Export Information Code - Select the code that identifies the type or condition of the export transaction being made.

Required: type of export

Schedule B or HTS Number – Report commodity classification codes. Some HTS Numbers are not valid for Export (see [“Invalid HTS”](#)). Provide 10 digits without periods. If you do not have a Schedule B number, use the ‘Schedule B Search Engine’ link to locate it.

Conditional: Not required if Export Code is HH (personal and household effects and tools of the trade) is selected.

Commodity Description - Report an appropriate description for the commodity.

Required: commercial description

1st and 2nd Quantity - Report the total quantity of the commodity being exported for each commodity code classification. Report in the unit of measure as required by the Schedule B or HTS Number.

Conditional: Not required if Export Code is HH (personal and household effects and tools of the trade) is selected.

1st and 2nd UOM- The Unit of Measure (UOM) is determined by the Schedule B or HTS number entered. When a valid Schedule B or Harmonized Tariff number is entered, the unit of measure required will automatically populate.

Conditional: Not required if Export Code is HH.

Origin of Goods - Select “domestic” if commodity is grown, produced or manufactured in the U.S. Select “foreign” for goods grown, produced or manufactured in foreign countries, but have not been changed in form or condition in the U.S.

Conditional: not required if Export Code is HH. If the origins vary for the same classification number, report foreign goods separately from domestic goods.

Value of Goods - Report the value of the goods at the U.S. port of export. The value shall be the selling price of the goods including inland or domestic freight, insurance, and other charges to the U.S. For details, see Foreign Trade Regulations 30.6 (a)(17).

Required: selling price in whole numbers, no symbols. Only report \$US dollars

Shipping Weight - Must be reported in kilograms. Include the weight of the commodity and weight of normal packaging. For details, see Foreign Trade Regulations 30.6(a)(16).

Conditional: Required when transportation is vessel, rail, truck or air. Not required for other modes of transport.

ECCN - This number is used to identify items on the Commerce Control List (CCL).

Conditional: Only required for certain commodities

A complete listing of license codes and descriptions for the U.S. Department of Commerce, Office of Foreign Assets Control (OFAC), Nuclear Regulatory Commission, U.S. Department of State and other Partnership Agency licenses, can be found under Appendix F of the [AES Trade Interface Requirements](#) (AESTIR).

License Type Code/License Exemption Code - Select the appropriate license type for the commodity. You may be required to enter additional information based on the license type selected.

Required: determines if other fields need to be reported

Does the filing include used vehicles? - A used vehicle, according to [Customs and Border Protection CFR 192.2](#), is defined as “any self-propelled vehicle the equitable or legal title to which has been transferred by a manufacturer, distributor, or dealer to an ultimate purchaser.” You will be required to complete additional information if you are reporting a used vehicle.

Required: indicate yes or no

Agriculture and Marketing Services (AMS) Permit Required - Does the filing require an Agriculture and Marketing Services (AMS) permit?

Required: indicate yes or no, complete additional fields as required.

Environmental Protection Agency (EPA) Permit Required - Does the filing require an Environmental Protection Agency (EPA) Permit?

Required: indicate yes or no complete additional fields as required.

Adding more than one commodity

Commodity lines should be separated based on their commodity classification code, origin of goods, commodities valued at over \$2500, or requiring an export license per commodity classification code. After saving the first commodity, select “Add Line” to open a new Commodity Line.

Step 4: Transportation

Step	Action
1	<div style="border: 1px solid black; padding: 5px; margin-bottom: 5px;"> Step 1: Shipment Step 2: Parties Step 3: Commodities Step 4: Transportation </div> <p>Select Step 4: Transportation</p>
2	Complete all of the transportation details as required.

Carrier SCAC/IATA ** ⓘ

Conveyance Name/Carrier Name ** ⓘ

Transportation Reference Number ** ⓘ

Transportation Reference Number, Equipment Number, and Seal Number can only be added for the following modes of transport:

- Air
- Rail
- Vessel
- Truck

Explanation of Data Fields in this section

Carrier SCAC/IATA – Carrier Code that identifies the transportation company. Contact your transportation company to obtain their carrier code.

Conditional: only required for Air, Vessel, Rail, and Truck shipments. Not required for USPS shipments.

Conveyance Name/Carrier Name – Provide the vessel name for ocean shipments and the carrier name for air, truck, and rail. You may not report UNKNOWN for the Conveyance Name.

Conditional: only required for Air, Vessel, Rail, and Truck shipments. Not required for USPS shipments.

Transportation Reference Number - This is the reservation number, or booking number, assigned by the ocean carrier to hold space on the vessel for the cargo. Optional for air shipments and it would be the airway bill number

Conditional: only required for vessel shipments. Optional for air shipments.

Sensitive Information

Electronic Export Information

ITN: N/A

XTN: 601601601-TESTSHPREFVT3TTF

Status: Draft


1a. U.S. PRINCIPAL PARTY (USPPI) MURAT TEST DATA CO 31ST STREET, SUITE 10, HERNDON, VA 20190, US		BOOKING NUMBER(S) TRANSVESSEL10-AES-11				
		EQUIPMENT NUMBER(S)	SEAL NUMBER(S)			
b. USPPI EIN (IRS) or ID Number 0033333300		c. PARTIES TO TRANSACTION YES				
2a. DATE OF EXPORTATION 2015-09-28		b. DATE OF FILING				
c. DATE OF UPDATE		3. TRANSPORTATION REFERENCE NO. TRANSVESSEL10-AES-11				
4a. ULTIMATE CONSIGNEE NAME AND ADDRESS VAN CONSIGNEE 7501 SUNSET BLVD, SUITE 20, BELLEROSE TERRACE, NY 11001, US		5a. FORWARDING AGENT NAME AND ADDRESS ABC FORWARDING LTD 199 10TH STREET, SUITE 30, CHANTILLY, VA 20152				
b. INTERMEDIATE CONSIGNEE NAME AND ADDRESS MADS INTERMEDIATE 4501 11TH ST SUITE 100, SUITE 40, CHANTILLY, VA 20152, US		b. FILER NAME AND ADDRESS TBD ...				
6. POINT (STATE) OF ORIGIN/FTZ NO. NY - NEW YORK		7. COUNTRY OF ULTIMATE DESTINATION FR - FRANCE				
8. LOADING PIER (<i>Vessel Only</i>) 0901 - BUFFALO-NIAGARA FALLS, N		9. METHOD OF TRANSPORTATION 10 - VESSEL, NON-CONTAINERIZED				
10. EXPORTING CARRIER/VESSEL NAME ALB VESSEL EXAM1		11. PORT OF EXPORT 0901 - BUFFALO-NIAGARA FALLS, N				
12. PORT OF UNLADING 42800 - ALL OTH FR GERMANY BALTIC PORT		13. CONTAINERIZED (<i>Vessel Only</i>) NO				
14. CARRIER IDENTIFICATION CODE DEVS		15. SHIPMENT REFERENCE NO. TESTSHPREFVT3TTF				
16. ENTRY NUMBER		17. HAZARDOUS MATERIALS YES				
18. IN BOND CODE 70 - MERCHANDISE NOT SHIPPED INBOND		19. ROUTED EXPORT TRANSACTION NO				
20. SCHEDULE B DESCRIPTION OF COMMODITIES						
a. Line Seq Nbr	b. D/F or M	c. Schedule B Number	d. Quantity-Schedule B Unit(s)	e. Shipping Weight (KG)	f. VIN/PRODUCT NUMBER/VEHICLE TITLE NUMBER	g. VALUE (U.S. Dollars, omit cents) <i>Selling price or cost if not sold</i>
1	D	SAUSAGES EXPORT INFO CODE: OS HTS: 0504000050 ECCN: 1A001 LICENSE NUMBER: NLR LICENSE CODE: C33	0000000050 KG	0000001250		0000000450

Sensitive Information

Print

Close

Submit Shipment to AES

Step	Action
1	<div style="display: flex; justify-content: space-around; align-items: center;"> Close Previous Next View Filing Submit Filing </div> <p>Click Submit Filing.</p>
2	<p>Observe your response from AES.</p> <div style="border: 1px solid #ccc; padding: 10px; background-color: #f9f9f9;"> <div style="background-color: #3498db; color: white; padding: 5px; text-align: center; font-weight: bold;">Submitted</div> <div style="text-align: center; margin: 10px 0;">  </div> <p style="text-align: center;">Successfully submitted to AES. Waiting for a response.</p> <div style="text-align: right; margin-top: 10px;"> Close </div> </div>

Retrieve and Amend/Edit a Shipment

From the Shipment Manager, you can search for shipments previously filed through ACE AESDirect by entering your search criteria into the **Search** box.

Step	Action
1	Navigate to Shipment Manager
2	<div style="border: 1px solid #ccc; padding: 5px; display: inline-block;"> Search: <input style="width: 200px; height: 20px;" type="text"/> </div> <p>Enter your search criteria into the Search box</p>

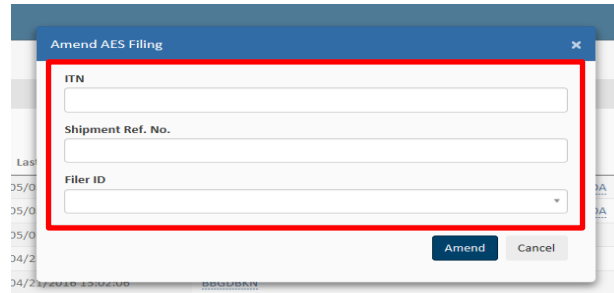
3	
	<p>Once you have located your shipment, you can retrieve the filing by clicking the Amend or Edit link to the right.</p>

Retrieve and Amend Legacy Shipments

Step	Action
1	Navigate to the Shipment Manager
2	<p>In order to amend a shipment originally accepted through legacy AESDirect, you must click the 'Amend AES Filing' option:</p>


3

Once you have selected this option you need to enter the Internal Transaction Number (ITN), Shipment Reference Number (SRN) and Filer ID for the shipment. Your Filer ID may already be populated if you only have one Employer Identification Number (EIN) or have to select one from a drop-down menu if you file for multiple EINs. If you have more than one EIN registered, verify that you are logged in to the ACE under the EIN in which the shipment was originally filed.



Once all fields are completed, click **Amend**. This will populate the legacy shipment information into the screen. At this point, you can view, amend and/or resubmit the export filing as necessary. This shipment will now appear in the Shipment Manager since it has now been transmitted through ACE AESDirect.

Print a Shipment

Step	Action
1	Navigate to Shipment Manager
2	 Enter your search criteria into the Search box

3

Once you have located your shipment, you can print the filing by clicking the **Print** link to the right.

Delete/Cancel EEI

Step	Action
1	Navigate to Shipment Manager
2	<p>Enter your search criteria into the Search box</p>
3	<p>Once you have located your shipment, you can delete the filing by clicking the Delete or Cancel EEI link to the right.</p>

Template Manager

This feature saves frequent shipment information. The only fields not stored in a template are:

- Shipment Reference Number
- Transportation Reference Number
- Origin State
- Departure Date
- 1st and 2nd Quantity
- Value
- Gross Weight

The Template Manager shows a preview of each template. The **Create EEI, Edit or Delete** options will allow you to manage your templates directly from this screen.

Templates » All										+ Create Export Filing
Name	Template Description	USPPI Name	USPPI ID	Ultimate Consignee	Port of Export	Destination Country	Modified Date	Create Date	Template Actions	
		CENSUS COMPANY	003333333	VAN CONSIGNEE	0901 - BUFFALO-NIAGARA FALLS	FR	08/28/2015 10:55:35	08/28/2015 10:55:35	Create EEI Delete	
TEST TEMPLATE	CENSUS COMPANY	CENSUS COMPANY	003333333	VAN CONSIGNEE	0901 - BUFFALO-NIAGARA FALLS	FR	08/28/2015 10:53:30	08/28/2015 10:53:30	Create EEI Delete	
SLIC	SLIC	MANI TEST DATA CO	0033333300	VAN CONSIGNEE	0901 - BUFFALO-NIAGARA FALLS	FR	08/24/2015 11:08:29	08/24/2015 11:08:29	Create EEI Delete	
COMPLETE S LICENSES	S LICENSES FILLED OUT A BIT	MANI TEST DATA CO	0033333300	VAN CONSIGNEE	0901 - BUFFALO-NIAGARA FALLS	FR	08/06/2015 13:54:30	08/06/2015 13:54:30	Create EEI Delete	
TEMP-20150803	NEW TEMPLATE				0401 - BOSTON, MA	IE	08/04/2015 16:39:23	08/04/2015 16:39:23	Create EEI Delete	
LICENSE TEMPLATE	ALL S LICENSES	MANI TEST DATA CO	0033333300	VAN CONSIGNEE	0901 - BUFFALO-NIAGARA FALLS	FR	08/04/2015 09:28:15	08/04/2015 09:28:15	Create EEI Delete	
072915CUBAA	JUST SAVING SHIPMENT INFO SECTION EG				1703 - SAVANNAH, GA	CU	07/29/2015 13:35:09	07/29/2015 13:35:09	Create EEI Delete	
FILER ID TEST	TEST_FILER_ID_VIEW	MANI TEST DATA CO	0033333300	VAN CONSIGNEE	0901 - BUFFALO-NIAGARA FALLS	FR	07/29/2015 10:12:42	07/29/2015 10:12:42	Create EEI Delete	
ACCEPTEDTEMPLATE	ACC	MANI TEST DATA CO	0033333300	VAN CONSIGNEE	0901 - BUFFALO-NIAGARA FALLS	FR	07/28/2015 14:57:54	07/28/2015 14:57:54	Create EEI Delete	

Showing 1 to 9 of 9 entries

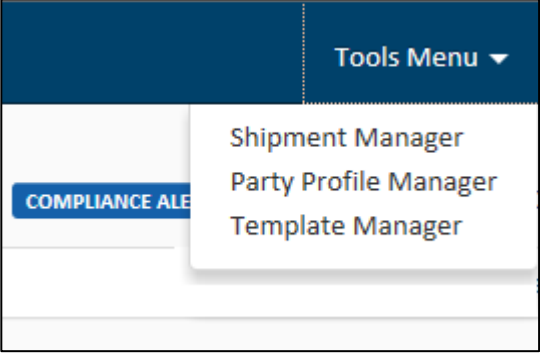
Search:

Show 10 entries

Previous Next

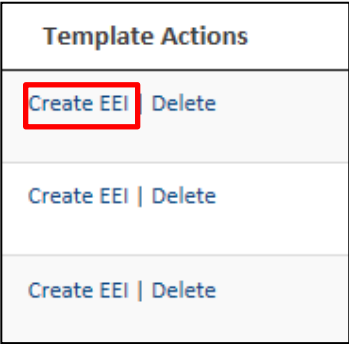
Open the Template Manager

Step	Action
------	--------

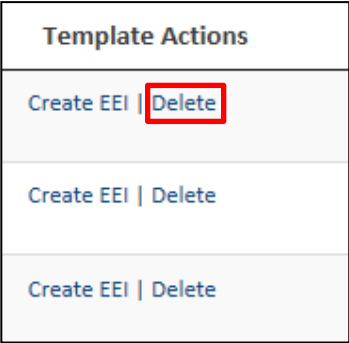
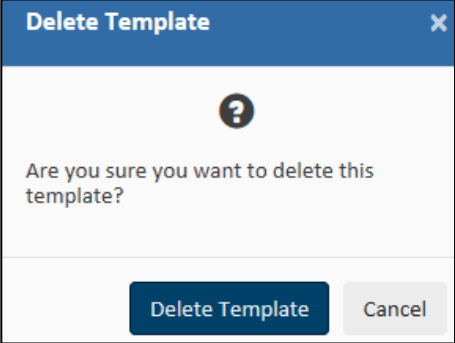
1	 <p>Click Tools Menu at the top right</p>
2	Select Template Manager

Once selected, the Template Manager will display, and you will have access to all of your templates.

Create EEI from Template

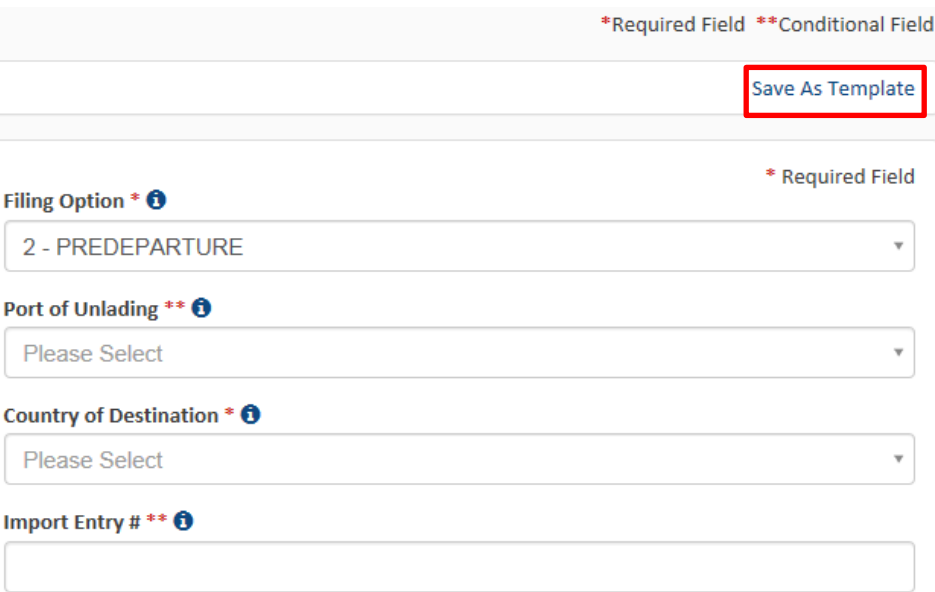
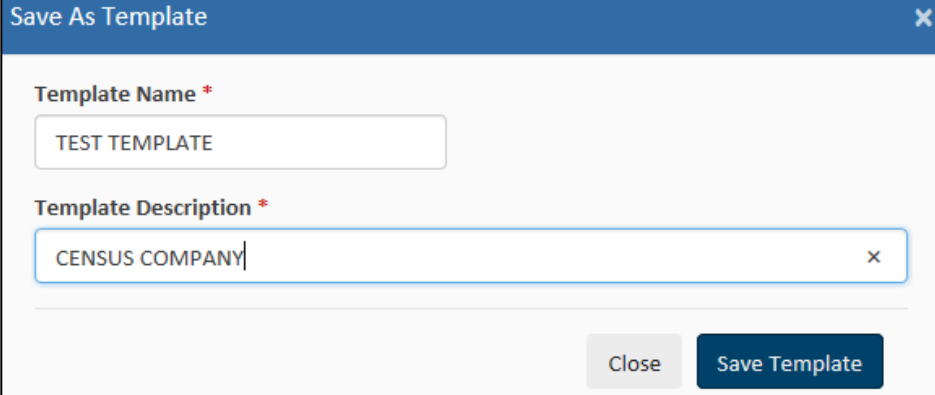
Step	Action
1	From the Template Manager, identify the template you would like to use for your new EEI
2	 <p>Click on the Create EEI option next to the template you want to use for your EEI.</p>
3	A new filing session will open up with your template data pre-populated into the form.

Delete template

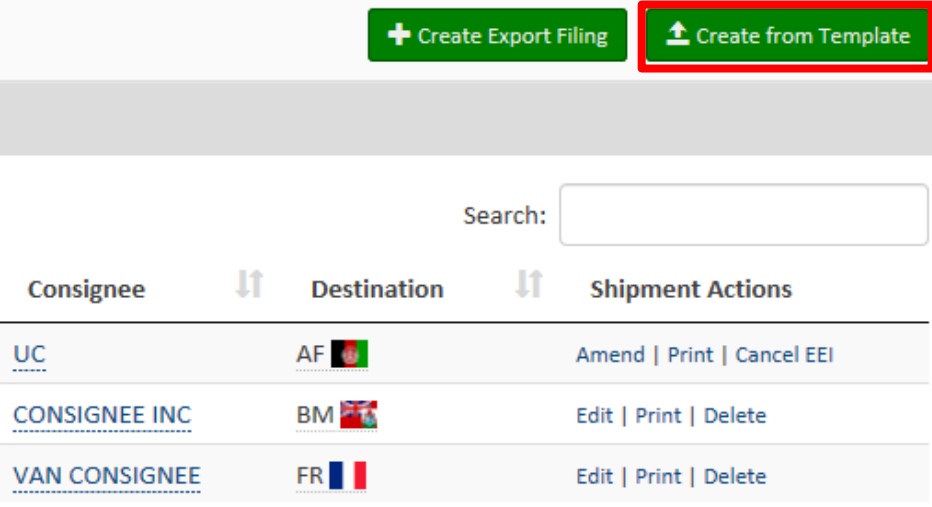
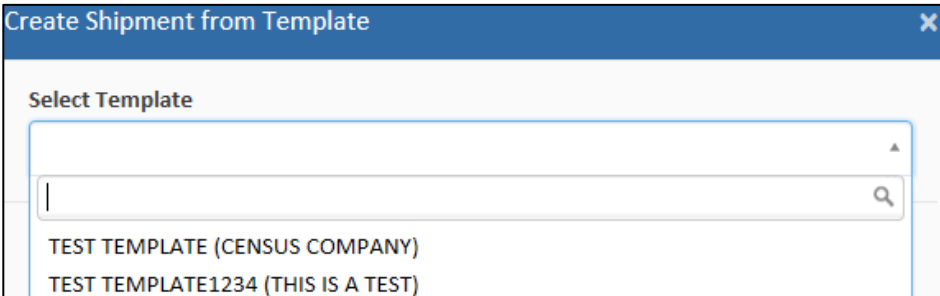
Step	Action
1	From the Template Manager, identify the template you would like to delete.
2	 <p>The screenshot shows a 'Template Actions' menu with three items. Each item has a 'Create EEI' link and a 'Delete' link. The 'Delete' link in the first item is highlighted with a red box.</p> <p>Click Delete</p>
3	 <p>The screenshot shows a 'Delete Template' dialog box with a question mark icon and the text 'Are you sure you want to delete this template?'. There are two buttons: 'Delete Template' and 'Cancel'.</p> <p>Confirm that you would like to delete this template.</p>

Create New Template

At any point while filing your AES data, you can create a new template.

Step	Action
1	Open up a new EEI Filing and begin entering the information.
2	 <p>The screenshot shows a portion of the EEI Filing form. At the top right, there is a legend: "*Required Field" and "**Conditional Field". Below this, a "Save As Template" button is highlighted with a red rectangular box. The form fields visible include: "Filing Option" (a dropdown menu with "2 - PREDEPARTURE" selected), "Port of Unlading" (a dropdown menu with "Please Select" selected), "Country of Destination" (a dropdown menu with "Please Select" selected), and "Import Entry #" (an empty text input field). Information icons (i) are present next to the field labels.</p> <p>Once you have entered the desired information for your template, click Save as Template at the top right.</p>
3	 <p>The screenshot shows a "Save As Template" dialog box. It has a title bar with a close button (X). Inside, there are two required fields: "Template Name" and "Template Description". The "Template Name" field contains the text "TEST TEMPLATE". The "Template Description" field contains the text "CENSUS COMPANY". At the bottom right of the dialog, there are two buttons: "Close" and "Save Template".</p> <p>Enter a name and description for the template. When finished, click Save Template.</p>

Load an Existing Template

Step	Action
1	 <p>In the 'Shipment Manager' screen, click Create from Template</p>
2	 <p>Locate the desired template.</p>
3	Click the template name to load.
4	Complete the empty fields and submit the EEI.

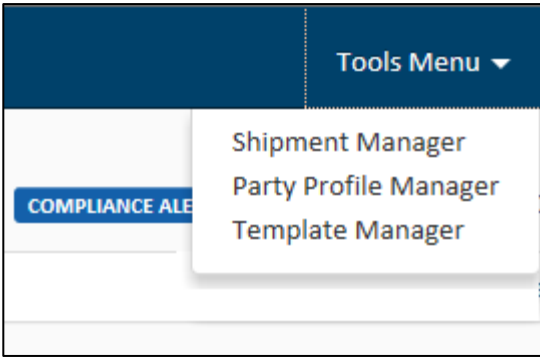
Party Profile Manager

This feature saves company information for frequent USPPIs, Freight Forwarders and/or Ultimate Consignees.

Click the **Tools** menu from the Main Menu and select Party Profile Manager.

Party Profiles » All											
Showing 1 to 10 of 10 entries											Search: <input type="text"/>
Profile Name	Party Type	Party ID	Party ID Type	Company Name	Contact Info	Address	Filer ID	Modified By	Last Modified	Actions	
FF	Freight Forwarder	234234234	DUNS	ACME CORP	QAZ, MANI 7034681000	1800 CAVE ST ARLINGTON, VA	601601601	Danielson, Chris	09/08/2015 17:11:40	Delete	
MY IC	Intermediate Consignee	23423423423	EIN	IRISH IMPORTS	QAZ, MANI 7034681000	123 DRAKE ST DUBLIN, IRELAND	601601601	Danielson, Chris	09/08/2015 17:11:04	Delete	
CENSUS COMPANY	USPPI	003333333	EIN	CENSUS COMPANY	DOE, JOHN 8047099999	315T STREET RESTON, VA USA	601601601	Reece, Sherrie	08/28/2015 10:44:01	Delete	
CENSUS COMPANY	USPPI	003333333	EIN	CENSUS COMPANY	DOE, JOHN 8047099999	315T STREET RESTON, VA USA	601601601	Reece, Sherrie	08/28/2015 09:59:43	Delete	
PR COMPANY	Ultimate Consignee			PR COMPANY		CALLE SOL URB REPTO FLAMINGO BAYAMON, PR PUERTO RICO	601601601	TAYLOR, BRANDON	08/11/2015 13:25:51	Delete	
CENSUS COMPANY	USPPI	895623124	DUNS	CENSUS COMPANY	GAUTHIER, ERIC 3017631391	4600 SILVER HILL WASHINGTON, DC USA	601601601	TAYLOR, BRANDON	08/11/2015 13:25:41	Delete	

Open the Party Profile Manager

Step	Action
1	 <p>Click Tools Menu at the top right.</p>
2	Select Party Profile Manager


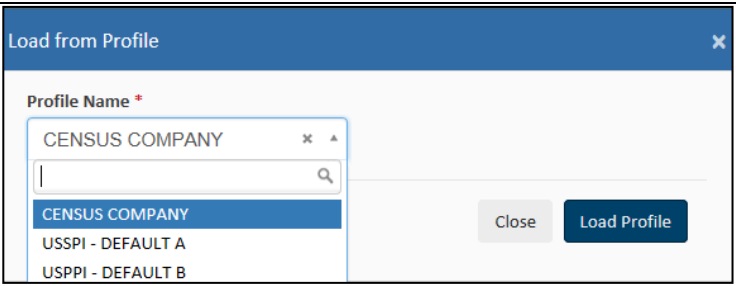
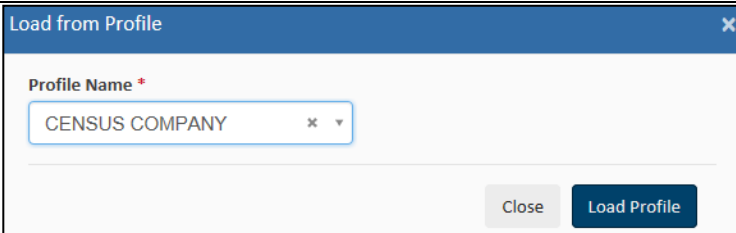
Once selected, the Party Profile Manager will display, and you will have access to all of your profiles.

Create Party Profile

Step	Action
1	Create a new EEI Filing
2	 <p>Navigate to Step 2: Parties</p>

3	Enter the information for the profile you would like to save
4	Once complete, select Save to Profile .

Loading Profiles

Step	Action
1	Create a new EEI Filing
2	 <p>Navigate to Step 2: Parties</p>
3	 <p>Select Load from Profile.</p>
4	In the Search box, enter the first few characters of the profile name.
5	 <p>Select your profile and click Load Profile.</p>

Delete profile

Step	Action
1	From the Party Profile Manager, identify the profile you would like to delete.

2	 <p>Click Delete.</p>
3	 <p>Confirm that you would like to delete this profile by selecting Delete Party Profile.</p>

AES Responses

Once shipments are processed by the Automated Export System (AES), a response message will indicate the status of the shipment. You can access responses in your **response e-mail** or through the **Shipment Manager**.

Response E-mail

E-mails are sent to the address listed in the Shipment section. If the filing has been accepted, then the Response E-mail will have your ITN included. If the filing has been rejected, the Response E-mail will provide you with a rejection statement.

AES Proof of Filing Citations

The **ITN** (Internal Transaction Number) is a confirmation number that proves a shipment has been accepted by AES. This number is unique for every shipment and must be provided to the U.S. Customs and Border Protection (CBP) at the port of export.

Exporters **must** cite the ITN on the first page of the bill of lading, air waybill, and/or other commercial loading documents.

Predeparture and Advanced Export Citations (AEI) Citations :

- AES ITN
 - Example: AES X20151002111111

Postdeparture Citations:

- If an Authorized Agent files on behalf of an approved post-departure participant
 - AESPOST USPPI ID FILER ID Date of Export
 - Example: AESPOST 12345678900 987654321 10/01/2015
- If USPPI files shipments directly to AES
 - AESPOST USPPI ID – Date of Export
 - Example: AESPOST 23456789000 10/01/2015

Additional Resources

Under the “Related Sites” section on the Homepage, you can find useful links to Government Sites and Partner Agency Websites.

Government Websites

Census Bureau – International Trade Management Division (ITMD)

<http://www.census.gov/trade>

Provides information on Foreign Trade Statistics, Regulations, reference materials, and extensive details on the AES.

Customs and Border Protection (CBP)

<http://www.cbp.gov/trade/aes>

Provides access to the Customs Export section, including information on:

- AES
- Blocked, denied and debarred persons lists
- Export documents, licenses and requirements

Department of Commerce – Bureau of Industry and Security (BIS)

<http://www.bis.doc.gov>

Provides information on export control basics, export administration policies and regulations, compliance and enforcement, seminars and training, and links to Export Administration Regulations (EAR), including the Commerce Control List.

Department of State – Directorate of Defense Trade Controls (DDTC)

<http://pmdtdc.state.gov/>

Provides information for registering with the DDTC and applying for a license to ship items on the U. S. Munitions List (USML). Includes a link to the International Traffic in Arms Regulations (ITAR).

Department of the Treasury – Office of Foreign Assets Control (OFAC)

<http://www.ustreas.gov/offices/enforcement/ofac>

Provides information regarding specially designated nationals (SDN), blocked persons lists, sanction programs and country summaries.

Export.gov – U.S. Commercial Service, International Trade Administration (ITA)

<http://trade.gov/cs/>

<http://export.gov>

Provides access to all export-related assistance and market information offered by the federal government.



Call the ACE Support Help Desk for help with:

- Account Registration help
- Username and Password issues

ACE Support Help Desk

Toll Free: 1-866-530-4172

E-mail: ACE.Support@cbp.dhs.gov

U.S. Census Bureau

Automated Export System (AES)

Toll Free: 800-549-0595- Option 1

Hours: M – F: 7:30 AM - 5:30 PM, EST

E-mail: itmd.askaes@census.gov

- AES Filing Problems
- AES Fatal Errors
- AES Monthly Reports
- Filing Post-Departure

Commodity Classifications

Toll Free: 800-549-0595- Option 2

Hours: M – F: 8 AM - 5:30 PM, EST

E-mail: eid.scheduleb@census.gov

- Schedule B Classification Assistance
- Commodity related reporting issues
- Parameter Change Requests

Regulations on Filing Export Data

Toll Free: 800-549-0595- Option 3

Hours: M – F: 7:30 AM - 6:30 PM, EST

E-mail: itmd.askregs@census.gov

- Clarifying Regulations
- Responsibilities of the Parties in Export Transactions

Trade Data

Toll Free: 800-549-0595- Option 4

Hours: M – F: 8 AM - 5:30 PM, EST

E-mail:

eid.international.trade.data@census.gov

- Questions about U.S. International Trade Statistics
- Help with USA Trade Online
- Trade Data Products and Subscriptions

Trade Outreach

Toll Free: 800-549-0595- Option 5

Hours: M – F: 7:30 AM - 6:00 PM, EST

E-mail: itmd.outreach@census.gov

- AES Compliance Seminars

Licensing Issues
United States Munitions List (USML) & Commerce Control List (CCL)

U.S. Department of State Licenses

Directorate of Defense Trade Controls:

202-663-2700

Bureau of Industry and Security

Washington, DC: 202-482-4811

Western Regional Office: 949-660-0144

Assistance in Exporting Worldwide -U.S. Commercial Service: 1-800-USA-TRADE